#### Operation and Maintenance Manual Product Identification Numbers (PIN) Location Guide Media Number -SEBU5596-06 Publication Date -01/11/2018

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## Foreword

**SMCS -** 7338

# **Literature Information**

This manual should be stored in the operator's compartment in the literature holder or seat back literature storage area.

This manual contains safety information, operation instructions, transportation information, lubrication information and maintenance information.

Some photographs or illustrations in this publication show details or attachments that can be different from your machine. Guards and covers might have been removed for illustrative purposes.

Continuing improvement and advancement of product design might have caused changes to your machine which are not included in this publication. Read, study and keep this manual with the machine.

Whenever a question arises regarding your machine, or this publication, please consult your Cat dealer for the latest available information.

# Safety

The safety section lists basic safety precautions. In addition, this section identifies the text and locations of warning signs and labels used on the machine.

Read and understand the basic precautions listed in the safety section before operating or performing lubrication, maintenance and repair on this machine.

# Operation

The operation section is a reference for the new operator and a refresher for the experienced operator. This section includes a discussion of gauges, switches, machine controls, attachment controls, transportation and towing information.

Photographs and illustrations guide the operator through correct procedures of checking, starting, operating and stopping the machine.

Operating techniques outlined in this publication are basic. Skill and techniques develop as the operator gains knowledge of the machine and its capabilities.

### Maintenance

The maintenance section is a guide to equipment care. The Maintenance Interval Schedule (MIS) lists the items to be maintained at a specific service interval. Items without specific intervals are listed under the "When Required" service interval. The Maintenance Interval Schedule lists the page number for the step-by-step instructions required to accomplish the scheduled maintenance. Use the Maintenance Interval Schedule as an index or "one safe source" for all maintenance procedures.

#### **Maintenance Intervals**

Use the service hour meter to determine servicing intervals. Calendar intervals shown (daily, weekly, monthly, etc.) can be used instead of service hour meter intervals if they provide more convenient servicing schedules and approximate the indicated service hour meter reading. Recommended service should always be performed at the interval that occurs first.

Under extremely severe, dusty or wet operating conditions, more frequent lubrication than is specified in the maintenance intervals chart might be necessary.

Perform service on items at multiples of the original requirement. For example, at every 500 service hours or 3 months, also service those items listed under every 250 service hours or monthly and every 10 service hours or daily.

# **California Proposition 65 Warning**

Diesel engine exhaust and some of its constituents are known to the State of California to cause cancer, birth defects, and other reproductive harm.

Battery posts, terminals and related accessories contain lead and lead compounds. Wash hands after handling.

## **Certified Engine Maintenance**

Proper maintenance and repair is essential to keep the engine and machine systems operating correctly. As the heavy duty off-road diesel engine owner, you are responsible for the performance of the required maintenance listed in the Owner Manual, Operation and Maintenance Manual, and Service Manual.

It is prohibited for any person engaged in the business of repairing, servicing, selling, leasing, or trading engines or machines to remove, alter, or render inoperative any emission related device or element of design installed on or in an engine or machine that is in compliance with the

regulations (40 CFR Part 89). Certain elements of the machine and engine such as the exhaust system, fuel system, electrical system, intake air system and cooling system may be emission related and should not be altered unless approved by Caterpillar.

# **Machine Capacity**

Additional attachments or modifications may exceed machine design capacity which can adversely affect performance characteristics. Included would be stability and system certifications such as brakes, steering, and rollover protective structures (ROPS). Contact your Cat dealer for further information.

# **Cat Product Identification Number**

Effective First Quarter 2001 the Cat Product Identification Number (PIN) has changed from 8 to 17 characters. In an effort to provide uniform equipment identification, Caterpillar and other construction equipment manufacturers are moving to comply with the latest version of the product identification numbering standard. Non-road machine PINs are defined by ISO 10261. The new PIN format will apply to all Cat machines and generator sets. The PIN plates and frame marking will display the 17 character PIN. The new format will look like the following:



Illustration 1

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Where:

- 1. Caterpillar's World Manufacturing Code (characters 1-3)
- 2. Machine Descriptor (characters 4-8)
- 3. Check Character (character 9)

4. Machine Indicator Section (MIS) or Product Sequence Number (characters 10-17). These were previously referred to as the Serial Number.

Machines and generator sets produced before First Quarter 2001 will maintain their 8 character PIN format.

Components such as engines, transmissions, axles, etc. and work tools will continue to use an 8 character Serial Number (S/N).

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## **Types of Identification Numbers**

SMCS - 1000; 7000

# **Product Identification Numbers (PIN)**

Manufacturers of earthmoving equipment use a Product Identification Number (PIN) in order to identify the products. Product Identification Number or PIN is a common term that is used throughout the industry.

Caterpillar will use the PIN, instead of a serial number, on all powered machines as well as Electrical Power Generation Equipment (EPG). The PIN will have the same prefix and will continue in the same sequence as the previous serial numbers. Serial numbers (SN) will continue to be used in order to identify Cat lift trucks and attachments.

### **Model Numbers**

All Cat machines display the corporate symbol and have model numbers, which consist of a series of numbers. These numbers are often preceded and/or followed by a letter or letters.

## **Serial Numbers**

Caterpillar products that are not designed for an operator to ride and Electrical Power Generation Equipment (EPG) are identified by serial numbers. Some of these products are engines, work tools, and transmissions. Additionally, Cat lift trucks and all Cat attachments are identified by serial numbers. All serial numbers are cross referenced to the PIN, which can be identified by the serial number.

The Operation and Maintenance Manual for the machine will show a picture of the PIN location. The Operation and Maintenance Manual is shipped from the factory with each machine. The Operation and Maintenance Manual will normally be located in the operator's compartment and will be attached to the machine with a steel lanyard.

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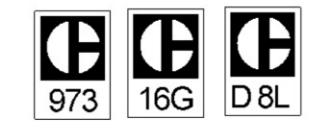
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## **Types of Labels and Plates**

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Every Cat machine has some labels and/or films and/or Identification plates. The numbers that are on the labels, films and/or on the plates are necessary when you are tracing equipment.

#### **Model Number**





The model number is clearly displayed on the machine. Some labels may include the corporate label. Some labels are printed in a black stripe with white letters.

## **Old Plate for the Product Identification Number**

CATERPELLAR INC. PEORIA, E. STE29 USA	<b>Cat</b> " Cat"	<b>Caterpillar</b> " Caterpillar"	œ. e
MODEL NUMBER			
PRODUCT IDENTIFICATION NUMBER			,
		MODE IN	PARTE ORDER
<sup>€</sup> 9.×-1878 5			4

Illustration 2

g02848881

PIN Plate

The plate for the PIN has a black background with white letters.

21	ATERPILLAR SIC LORIA, D., 01624 SA	CAT <sup>®</sup>		AR" CE"
MC	DEL NUMBER			
IDE	Couge Enterication Meer			
	Model Number	Seri	al Number	Arrangement Number
8				
0				

Illustration 3

#### g02850218

Some machines are equipped with a plate that includes the PIN, the Engine Serial Number, and the Transmission Serial Number.

	SERIAL NUMBER			
Ĺ			ļ	$\langle  $
Ĺ			Ì	1
	Model			
	MOTOR GRADER			
	>	Model	Model	Model

Illustration 4

Serial Number Plate that is found on older machines

### New Plate for the Product Identification Number

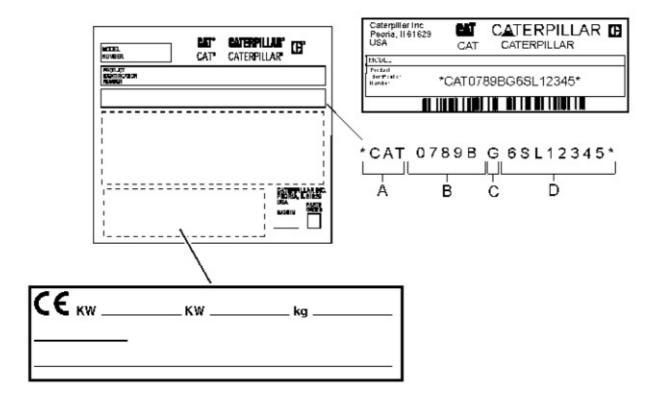


Illustration 5

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A new Product Identification Number (PIN) is used on newer machines. That includes a new system for identification that uses 17 characters.

(A) This section includes the World Manuafacturing Code.

(B) This section includes the Machine Descriptor.

- (C) This section includes the Check Character.
- (D) This section includes the Previous Sequence Number.

#### **CE** Plate

This plate is positioned on the bottom left side of plate (1) for the PIN.

**Note:** The CE plate is on machines that are certified to the European Union requirements that were effective at that time.

For machines compliant to 2006/42/EC, the following information is stamped onto the CE plate.

### **Service Information Number Plate**

	LAR CAT		
NUMBER	NUMBER	NUMBER	
MACHINE	P		
	I N		
	SERIAL		
ENGINE			
TRANSMISSION			
CATERPILLAR INC. GENERAL OFFICES PEORIA IL MADE IN UNITED STATES OF AMERICA			

Illustration 6

g02848884

Typical Service Information Number plate

This plate has the PIN and the serial numbers of the engine and the transmission. This is an optional plate. This plate is located in the operator compartment, if equipped.

<b>CAT</b>		
SER NO	DATE	ERED
MODIFICATION NO	DELIV	DLR CODE
AR NO	SPEC	MAX ALT
OEM NO FULLOAD	EU	JLL TORQ
STATIC FUEL	ST	ATIC FUEL A/F RATIO DYNAMIC
BARE ENG HIGH IDLE RPM	FULL LOAD RPM	FUEL

Typical plate for the Service Information Number

## **Serial Number Plate**

This plate is used on Cat equipment that is not designed for an operator to ride. Some examples are engines and transmissions. This plate is also used on Cat lift trucks and all Cat attachments.

CATERPILLAR ®	CAT®
ARRANGEMENT NUMBER	SALES MODEL
0	0
SERIAL NUMBER	
MADE IN U.K.	6P-6236

Illustration 8

g02846296

ENGINE MODEL			
SERIAL NUMBER			
	CATERP	ULLAR CAT	
ARRANGEN NUMBEI			
(ALWAYS O MADE IN U	IVE ALL NUMBER S.A.	(S)	3N3790 11

Illustration 9

SERIAL NUMBER	
	6
NO.9 RIPPER	

#### Illustration 10

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Typical serial number plate that is used on attachments

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### **Articulated Truck**

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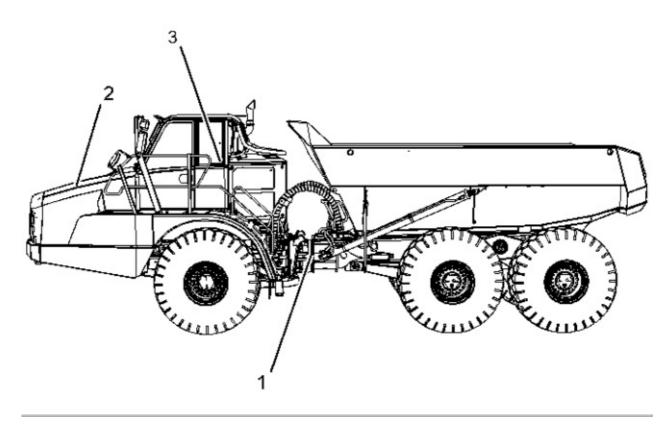


Illustration 1

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The CE plate is located on PIN plate (1) when required.

PIN plate (1) is located on the left rear of the frame.

Engine Serial Number plate (2) is located on the right side of the engine.

The service information plate (3) is located in the operators compartment.

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### **Asphalt Paver**

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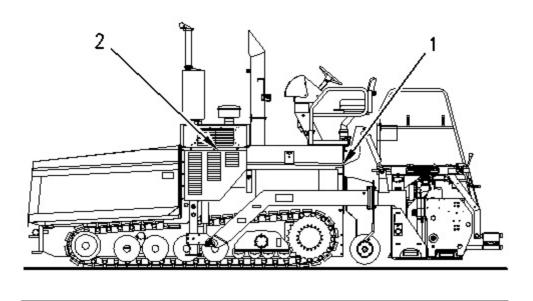


Illustration 1

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The Product Identification Number (PIN) (1) is located on the rear of the main frame.

The serial number plate (2) for the engine is located on the side of the engine cylinder block.

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### **Asphalt Screed**

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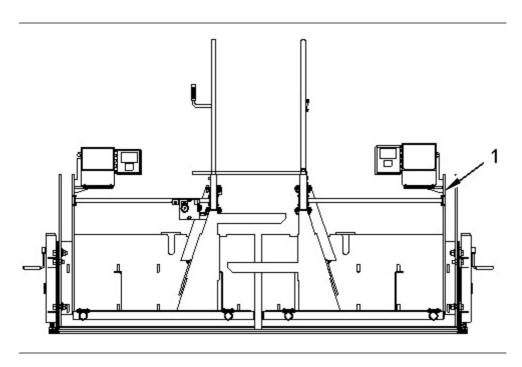


Illustration 1

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The serial number plate (1) is located on the right side of the screed.

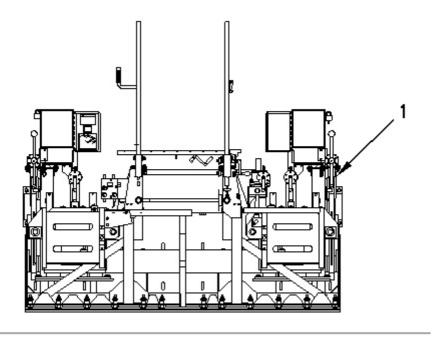


Illustration 2

g00786269

The serial number plate (1) for the screed is located on the right extender.

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### **Backhoe Loader**

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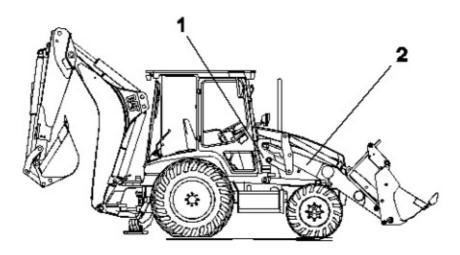


Illustration 1

g02875958

The CE plate is located on the PIN plate (1) when required.

PIN Plate (1) is located on the left side of the machine.

The serial number plate (2) for the engine is located on the side of the engine block.

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