Model: 424D BACKHOE LOADER BKR

Configuration: 424D Backhoe Loader BKR00529-00562 (MACHINE) POWERED BY 3054B Engine

## Operation and Maintenance Manual 424D, 428D and 438D Backhoe Loaders

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#### **General Hazard Information**

**SMCS - 7000** 

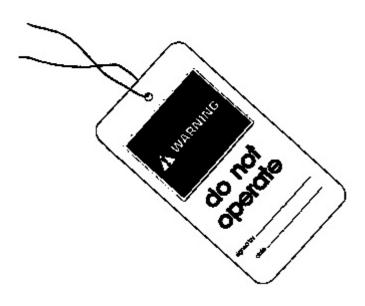


Illustration 1 g00104545

Typical example

Attach a "Do Not Operate" warning tag or a similar warning tag to the start switch or to the controls. Attach the warning tag before you service the equipment or before you repair the equipment. Warning tag SEHS7332 is available from your Cat dealer.



Operating the machine while distracted can result in the loss of machine control. Use extreme caution when using any device while operating the

## machine. Operating the machine while distracted can result in personal injury or death.

Know the width of your equipment in order to maintain proper clearance when you operate the equipment near fences or near boundary obstacles.

Be aware of high voltage power lines and power cables that are buried. If the machine comes in contact with these hazards, serious injury or death may occur from electrocution.

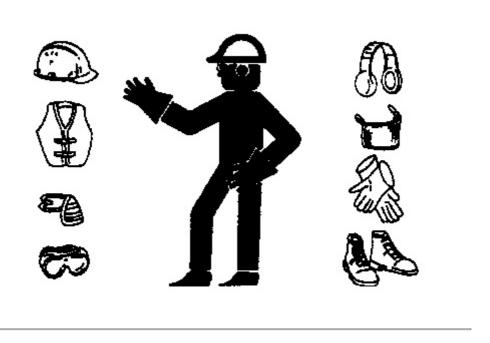


Illustration 2 g00702020

Wear a hard hat, protective glasses, and other protective equipment, as required.

Do not wear loose clothing or jewelry that can snag on controls or on other parts of the equipment.

Make sure that all protective guards and all covers are secured in place on the equipment.

Keep the equipment free from foreign material. Remove debris, oil, tools, and other items from the deck, from walkways, and from steps.

Secure all loose items such as lunch boxes, tools, and other items that are not a part of the equipment.

Know the appropriate work site hand signals and the personnel that are authorized to give the hand signals. Accept hand signals from one person only.

Do not smoke when you service an air conditioner. Also, do not smoke if refrigerant gas may be present. Inhaling the fumes that are released from a flame that contacts air conditioner refrigerant can cause bodily harm or death. Inhaling gas from air conditioner refrigerant through a lighted cigarette can cause bodily harm or death.

Never put maintenance fluids into glass containers. Drain all liquids into a suitable container.

Obey all local regulations for the disposal of liquids.

Use all cleaning solutions with care. Report all necessary repairs.

Do not allow unauthorized personnel on the equipment.

Unless you are instructed otherwise, perform maintenance with the equipment in the servicing position. Refer to Operation and Maintenance Manual for the procedure for placing the equipment in the servicing position.

When you perform maintenance above ground level, use appropriate devices such as ladders or man lift machines. If equipped, use the machine anchorage points and use approved fall arrest harnesses and lanyards.

#### **Pressurized Air and Water**

Pressurized air and/or water can cause debris and/or hot water to be blown out. The debris and/or hot water could result in personal injury.

When pressurized air and/or pressurized water is used for cleaning, wear protective clothing, protective shoes, and eye protection. Eye protection includes goggles or a protective face shield.

The maximum air pressure for cleaning purposes must be reduced to 205 kPa (30 psi) when the nozzle is deadheaded and the nozzle is used with an effective chip deflector and personal protective equipment. The maximum water pressure for cleaning purposes must be below 275 kPa (40 psi).

Avoid direct spraying of water on electrical connectors, connections, and components. When using air for cleaning, allow the machine to cool to reduce the possibility of fine debris igniting when re-deposited on hot surfaces.

### **Trapped Pressure**

Pressure can be trapped in a hydraulic system. Releasing trapped pressure can cause sudden machine movement or attachment movement. Use caution if you disconnect hydraulic lines or fittings. High-pressure oil that is released can cause a hose to whip. High-pressure oil that is released can cause oil to spray. Fluid penetration can cause serious injury and possible death.

#### Fluid Penetration

Pressure can be trapped in the hydraulic circuit long after the machine has been stopped. The pressure can cause hydraulic fluid or items such as pipe plugs to escape rapidly if the pressure is not relieved correctly.

Do not remove any hydraulic components or parts until pressure has been relieved or personal injury may occur. Do not disassemble any hydraulic components or parts until pressure has been relieved or personal injury may occur. Refer to the Service Manual for any procedures that are required to relieve the hydraulic pressure.

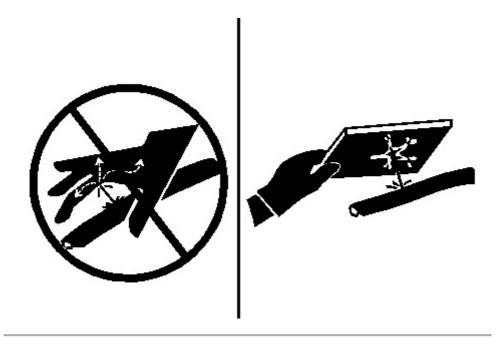


Illustration 3 g00687600

Always use a board or cardboard when you check for a leak. Leaking fluid that is under pressure can penetrate body tissue. Fluid penetration can cause serious injury and possible death. A pin hole leak can cause severe injury. If fluid is injected into your skin, you must get treatment immediately. Seek treatment from a doctor that is familiar with this type of injury.

### **Containing Fluid Spillage**

Care must be taken in order to ensure that fluids are contained during performance of inspection, maintenance, testing, adjusting, and repair of the equipment. Prepare to collect the fluid with suitable containers before opening any compartment or disassembling any component that contains fluids.

Refer to Special Publication, NENG2500, "Cat dealer Service Tool Catalog" for the following items:

- Tools that are suitable for collecting fluids and equipment that is suitable for collecting fluids
- Tools that are suitable for containing fluids and equipment that is suitable for containing fluids

Obey all local regulations for the disposal of liquids.

#### **Inhalation**



Illustration 4 g02159053

#### **Exhaust**

Use caution. Exhaust fumes can be hazardous to your health. If you operate the machine in an enclosed area, adequate ventilation is necessary.

#### **Asbestos Information**

Cat equipment and replacement parts that are shipped from Caterpillar are asbestos free. Caterpillar recommends the use of only genuine Cat replacement parts. Use the following guidelines when you handle any replacement parts that contain asbestos or when you handle asbestos debris.

Use caution. Avoid inhaling dust that might be generated when you handle components that contain asbestos fibers. Inhaling this dust can be hazardous to your health. The components that may contain asbestos fibers are brake pads, brake bands, lining material, clutch plates, and some gaskets. The asbestos that is used in these components is bound in a resin or sealed in some way. Normal handling is not hazardous unless airborne dust that contains asbestos is generated.

If dust that may contain asbestos is present, there are several guidelines that should be followed:

- Never use compressed air for cleaning.
- Avoid brushing materials that contain asbestos.
- Avoid grinding materials that contain asbestos.
- Use a wet method in order to clean up asbestos materials.
- A vacuum cleaner that is equipped with a high efficiency particulate air filter (HEPA) can also be used.
- Use exhaust ventilation on permanent machining jobs.

- Wear an approved respirator if there is no other way to control the dust.
- Comply with applicable rules and regulations for the work place. In the United States, use Occupational Safety and Health Administration (OSHA) requirements. These OSHA requirements can be found in "29 CFR 1910.1001". In Japan, use the requirements found in the "Ordinance on Prevention of Health Impairment due to Asbestos" in addition to the requirements of the Industrial Safety and Health Act.
- Obey environmental regulations for the disposal of asbestos.
- Stay away from areas that might have asbestos particles in the air.

## **Dispose of Waste Properly**

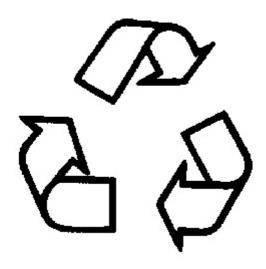


Illustration 5 g00706404

Improperly disposing of waste can threaten the environment. Potentially harmful fluids should be disposed of according to local regulations.

Always use leakproof containers when you drain fluids. Do not pour waste onto the ground, down a drain, or into any source of water.

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## **Crushing Prevention and Cutting Prevention**

**SMCS - 7000** 

Support the equipment properly before you perform any work or maintenance beneath that equipment. Do not depend on the hydraulic cylinders to hold up the equipment. Equipment can fall if a control is moved, or if a hydraulic line breaks.

Do not work beneath the cab of the machine unless the cab is properly supported.

Unless you are instructed otherwise, never attempt adjustments while the machine is moving or while the engine is running.

Never jump across the starter solenoid terminals in order to start the engine. Unexpected machine movement could result.

Whenever there are equipment control linkages the clearance in the linkage area will change with the movement of the equipment or the machine. Stay clear of areas that may have a sudden change in clearance with machine movement or equipment movement.

Stay clear of all rotating and moving parts.

If it is necessary to remove guards in order to perform maintenance, always install the guards after the maintenance is performed.

Keep objects away from moving fan blades. The fan blade will throw objects or cut objects.

Do not use a kinked wire cable or a frayed wire cable. Wear gloves when you handle wire cable.

When you strike a retainer pin with force, the retainer pin can fly out. The loose retainer pin can injure personnel. Make sure that the area is clear of people when you strike a retainer pin. To avoid injury to your eyes, wear protective glasses when you strike a retainer pin.

Chips or other debris can fly off an object when you strike the object. Make sure that no one can be injured by flying debris before striking any object.

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## Operation and Maintenance Manual 424D, 428D and 438D Backhoe Loaders

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#### **Burn Prevention**

**SMCS** - 7000

Do not touch any part of an operating engine. Allow the engine to cool before any maintenance is performed on the engine. Relieve all pressure in the air system, in the oil system, in the lubrication system, in the fuel system, or in the cooling system before any lines, fittings, or related items are disconnected.

#### **Coolant**

When the engine is at operating temperature, the engine coolant is hot. The coolant is also under pressure. The radiator and all lines to the heaters or to the engine contain hot coolant.

Any contact with hot coolant or with steam can cause severe burns. Allow cooling system components to cool before the cooling system is drained.

Check the coolant level only after the engine has been stopped.

Ensure that the filler cap is cool before removing the filler cap. The filler cap must be cool enough to touch with a bare hand. Remove the filler cap slowly in order to relieve pressure.

Cooling system conditioner contains alkali. Alkali can cause personal injury. Do not allow alkali to contact the skin, the eyes, or the mouth.

#### **Oils**

Hot oil and hot components can cause personal injury. Do not allow hot oil to contact the skin. Also, do not allow hot components to contact the skin.

Remove the hydraulic tank filler cap only after the engine has been stopped. The filler cap must be cool enough to touch with a bare hand. Follow the standard procedure in this manual in order to remove the hydraulic tank filler cap.

#### **Batteries**

The liquid in a battery is an electrolyte. Electrolyte is an acid that can cause personal injury. Do not allow electrolyte to contact the skin or the eyes.

Do not smoke while checking the battery electrolyte levels. Batteries give off flammable fumes which can explode.

Always wear protective glasses when you work with batteries. Wash hands after touching batteries. The use of gloves is recommended.

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## **Fire Prevention and Explosion Prevention**

**SMCS - 7000** 



Illustration 1 g00704000

### General

All fuels, most lubricants, and some coolant mixtures are flammable.

To minimize the risk of fire or explosion, Caterpillar recommends the following actions.

Always perform a Walk-Around Inspection, which may help you identify a fire hazard. Do not operate a machine when a fire hazard exists. Contact your Cat dealer for service.

Understand the use of the primary exit and alternative exit on the machine. Refer to Operation and Maintenance Manual, "Alternative Exit".

Do not operate a machine with a fluid leak. Repair leaks and clean up fluids before resuming machine operation. Fluids that are leaking or spilled onto hot surfaces or onto electrical components can cause a fire. A fire may cause personal injury or death.

Remove flammable material such as leaves, twigs, papers, trash, and so on. These items may accumulate in the engine compartment or around other hot areas and hot parts on the machine.

Keep the access doors to major machine compartments closed and access doors in working condition in order to permit the use of fire suppression equipment, in case a fire should occur.

Clean all accumulations of flammable materials such as fuel, oil, and debris from the machine.

Do not operate the machine near any flame.

Keep shields in place. Exhaust shields (if equipped) protect hot exhaust components from oil spray or fuel spray in case of a break in a line, in a hose, or in a seal. Exhaust shields must be installed correctly.

Do not weld or flame cut on tanks or lines that contain flammable fluids or flammable material. Empty and purge the lines and tanks. Then clean the lines and tanks with a nonflammable solvent prior to welding or flame cutting. Ensure that the components are properly grounded in order to avoid unwanted arcs.

Dust that is generated from repairing nonmetallic hoods or fenders may be flammable and/or explosive. Repair such components in a well ventilated area away from open flames or sparks. Use suitable Personal Protection Equipment (PPE).

Inspect all lines and hoses for wear or deterioration. Replace damaged lines and hoses. The lines and the hoses should have adequate support and secure clamps. Tighten all connections to the recommended torque. Damage to the protective cover or insulation may provide fuel for fires.

Store fuels and lubricants in properly marked containers away from unauthorized personnel. Store oily rags and flammable materials in protective containers. Do not smoke in areas that are used for storing flammable materials.



Illustration 2 g03839130

Use caution when you are fueling a machine. Do not smoke while you are fueling a machine. Do not fuel a machine near open flames or sparks. Do not use cell phones or other electronic devices while you are refueling. Always stop the engine before fueling. Fill the fuel tank outdoors. Properly clean areas of spillage.

Avoid static electricity risk when fueling. Ultra low sulfur diesel (ULSD) poses a greater static ignition hazard than earlier diesel formulations with a higher sulfur content. Avoid death or serious injury from fire or explosion. Consult with your fuel or fuel system supplier to ensure that the delivery system is in compliance with fueling standards for proper grounding and bonding practices.

Never store flammable fluids in the operator compartment of the machine.

## **Battery and Battery Cables**



Illustration 3 g03839133

Caterpillar recommends the following in order to minimize the risk of fire or an explosion related to the battery.

Do not operate a machine if battery cables or related parts show signs of wear or damage. Contact your Cat dealer for service.

Follow safe procedures for engine starting with jump-start cables. Improper jumper cable connections can cause an explosion that may result in injury. Refer to Operation and Maintenance Manual, "Engine Starting with Jump Start Cables" for specific instructions.

Do not charge a frozen battery. This may cause an explosion.

Gases from a battery can explode. Keep any open flames or sparks away from the top of a battery. Do not smoke in battery charging areas. Do not use cell phones or other electronic devices in battery charging areas.

Never check the battery charge by placing a metal object across the terminal posts. Use a voltmeter in order to check the battery charge.

Daily inspect battery cables that are in areas that are visible. Inspect cables, clips, straps, and other restraints for damage. Replace any damaged parts. Check for signs of the following, which can occur over time due to use and environmental factors:

- Fraying
- Abrasion
- Cracking
- Discoloration
- Cuts on the insulation of the cable
- Fouling
- Corroded terminals, damaged terminals, and loose terminals

Replace damaged battery cable (s) and replace any related parts. Eliminate any fouling, which may have caused insulation failure or related component damage or wear. Ensure that all components are reinstalled correctly.

An exposed wire on the battery cable may cause a short to ground if the exposed area comes into contact with a grounded surface. A battery cable short produces heat from the battery current, which may be a fire hazard.

An exposed wire on the ground cable between the battery and the disconnect switch may cause the disconnect switch to be bypassed if the exposed area comes into contact with a grounded surface. This may result in an unsafe condition for servicing the machine. Repair components or replace components before servicing the machine.



Fire on a machine can result in personal injury or death. Exposed battery cables that come into contact with a grounded connection can result in fires. Replace cables and related parts that show signs of wear or damage. Contact your Cat dealer.

### Wiring

Check electrical wires daily. If any of the following conditions exist, replace parts before you operate the machine.

- Fraying
- Signs of abrasion or wear
- Cracking
- Discoloration
- Cuts on insulation
- · Other damage

Make sure that all clamps, guards, clips, and straps are reinstalled correctly. This will help to prevent vibration, rubbing against other parts, and excessive heat during machine operation.

Attaching electrical wiring to hoses and tubes that contain flammable fluids or combustible fluids should be avoided.

Consult your Cat dealer for repair or for replacement parts.

Keep wiring and electrical connections free of debris.

#### Lines, Tubes, and Hoses

Do not bend high-pressure lines. Do not strike high-pressure lines. Do not install any lines that are bent or damaged. Use the appropriate backup wrenches in order to tighten all connections to the recommended torque.

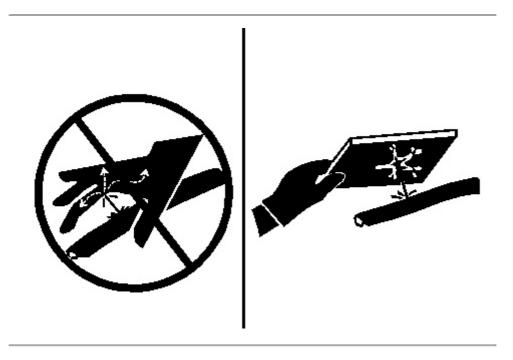


Illustration 4 g00687600

Check lines, tubes, and hoses carefully. Wear Personal Protection Equipment (PPE) in order to check for leaks. Always use a board or cardboard when you check for a leak. Leaking fluid that is under pressure can penetrate body tissue. Fluid penetration can cause serious injury and possible

death. A pin hole leak can cause severe injury. If fluid is injected into your skin, you must get treatment immediately. Seek treatment from a doctor that is familiar with this type of injury.

Replace the affected parts if any of the following conditions are present:

- End fittings are damaged or leaking.
- Outer coverings are chafed or cut.
- Wires are exposed.
- Outer coverings are swelling or ballooning.
- Flexible parts of the hoses are kinked.
- Outer covers have exposed embedded armoring.
- End fittings are displaced.

Make sure that all clamps, guards, and heat shields are installed correctly. During machine operation, this will help to prevent vibration, rubbing against other parts, excessive heat, and failure of lines, tubes, and hoses.

Do not operate a machine when a fire hazard exists. Repair any lines that are corroded, loose, or damaged. Leaks may provide fuel for fires. Consult your Cat dealer for repair or for replacement parts. Use genuine Cat parts or the equivalent, for capabilities of both the pressure limit and temperature limit.

#### **Ether**

Ether (if equipped) is commonly used in cold-weather applications. Ether is flammable and poisonous.

Only use approved Ether canisters for the Ether dispensing system fitted to your machine, do not spray Ether manually into an engine, follow the correct cold engine starting procedures. Refer to the section in the Operation and Maintenance Manual with the label "Engine Starting".

Use ether in ventilated areas. Do not smoke while you are replacing an ether cylinder.

Do not store ether cylinders in living areas or in the operator compartment of a machine. Do not store ether cylinders in direct sunlight or in temperatures above 49° C (120.2° F). Keep ether cylinders away from open flames or sparks.

Dispose of used ether cylinders properly. Do not puncture an ether cylinder. Keep ether cylinders away from unauthorized personnel.

### Fire Extinguisher

As an additional safety measure, keep a fire extinguisher on the machine.

Be familiar with the operation of the fire extinguisher. Inspect the fire extinguisher and service the fire extinguisher regularly. Follow the recommendations on the instruction plate.

Consider installation of an aftermarket Fire Suppression System, if the application and working conditions warrant the installation.

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### **Fire Extinguisher Location**

**SMCS -** 7000; 7419

Make sure that a fire extinguisher is on the machine. Make sure that you are familiar with the operation of the fire extinguisher. Inspect the fire extinguisher and service the extinguisher. Obey the recommendations on the instruction plate.

Mount the fire extinguisher in the battery box. Do not weld the ROPS in order to install the fire extinguisher. Also, do not drill holes in the ROPS in order to mount the fire extinguisher on the ROPS.

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#### **Tire Information**

**SMCS** - 7000

Explosions of air inflated tires have resulted from heat-induced gas combustion inside the tires. Explosions can be caused by heat that is generated by welding, by heating rim components, by external fire, or by excessive use of brakes.

A tire explosion is much more violent than a blowout. The explosion can propel the tire, the rim components, and the axle components as far as 500 m (1500 ft) or more from the machine. Both the force of the explosion and the flying debris can cause property damage, personal injury, or death.

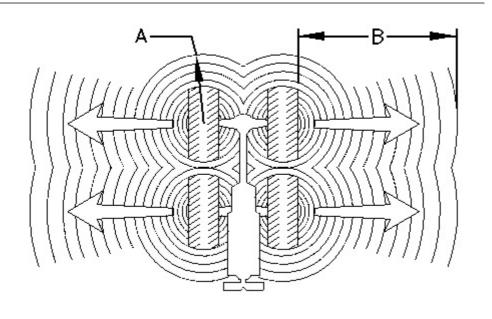


Illustration 1 g00337832

Do not approach a warm tire. Maintain a minimum distance, as shown. Stay outside the shaded area in Illustration 1.

When you inflate a tire, stand behind the tread and use a self-attaching chuck.

Servicing tires and rims can be dangerous. Only trained personnel that use proper tools and proper procedures should perform this maintenance. If correct procedures are not used for servicing tires and rims, the assemblies could burst with explosive force. This explosive force can cause serious personal injury or death. Carefully obey the specific instructions from your tire dealer.

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## **Electrical Storm Injury Prevention**

**SMCS - 7000** 

When lightning is striking in the vicinity of the machine, the operator should never attempt the following procedures:

- Mount the machine.
- Dismount the machine.

If you are in the operator's station during an electrical storm, stay in the operator's station. If you are on the ground during an electrical storm, stay away from the vicinity of the machine.

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## Operation and Maintenance Manual 424D, 428D and 438D Backhoe Loaders

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### **Before Starting Engine**

**SMCS** - 1000; 7000

Start the engine only from the operator compartment. Never short across the starter terminals or across the batteries. Shorting could damage the electrical system by bypassing the engine neutral start system.

Inspect the condition of the seat belt and of the mounting hardware. Replace any parts that are worn or damaged. Regardless of appearance, replace the seat belt after three years of use. Do not use a seat belt extension on a retractable seat belt.

Adjust the seat so that full pedal travel can be achieved with the operator's back against the back of the seat.

Make sure that the machine is equipped with a lighting system that is adequate for the job conditions. Make sure that all machine lights are working properly.

Before you start the engine and before you move the machine, make sure that no one is underneath the machine, around the machine, or on the machine. Make sure that the area is free of personnel.

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### **Engine Starting**

**SMCS** - 1000; 7000

If a warning tag is attached to the start switch or to the controls, do not start the engine. Also, do not move any controls.

Move all hydraulic controls to the HOLD position before you start the engine.

Move the transmission direction control lever to the NEUTRAL position.

Engage the parking brake.

Diesel engine exhaust contains products of combustion which can be harmful to your health. Always start the engine in a well ventilated area. Always operate the engine in a well ventilated area. If you are in an enclosed area, vent the exhaust to the outside.

Briefly sound the horn before you start the engine.

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## Operation and Maintenance Manual 424D, 428D and 438D Backhoe Loaders

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## **Before Operation**

**SMCS - 7000** 

Clear all personnel from the machine and from the area.

Clear all obstacles from the path of the machine. Beware of hazards such as electrical wires, ditches, etc.

The stabilizers must be in the correct position before you operate the machine. Completely raise the stabilizers for transporting the machine or for loader operation. Lower the stabilizers before you operate the backhoe. **DO NOT DIG UNDER THE STABILIZERS!** 

Make sure that all windows are clean. Secure the doors in the open position or in the shut position. Secure the windows in the open position or in the shut position.

For the best visibility of the area that is close to the machine, adjust the rearview mirrors (if equipped).

Make sure that the machine horn, the backup alarm (if equipped) and all other warning devices are working properly.

Fasten the seat belt securely.

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### **Operation**

**SMCS - 7000** 



Always engage the parking brake and transmission neutral lock before dismounting the machine, operating the backhoe or engaging the boom lock for the transport position. Failure to do so could allow unexpected machine movement, resulting in personal injury or death.

## **Machine Operating Temperature Range**

The standard machine configuration is intended for use within an ambient temperature range of -26 °C to 43 °C (-15 °F to 110 °F). The temperature range is dependent upon several operating conditions: technique, environment and cooling system condition. Special configurations for different ambient temperatures may be available. Consult your Caterpillar dealer for additional information on special configurations of your machine.

### **Safety Information**

When you operate the backhoe, engage the parking brake. Also, place the transmission in NEUTRAL and engage the transmission neutral lock.

Only operate the machine while you are in a seat. The seat belt must be fastened while you operate the machine. Only operate the controls while the engine is running.

While you operate the machine slowly in an open area, check for proper operation of all controls and all protective devices.

Before you move the machine, ensure that no one will be endangered.

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